



Confidential Exhibits

The following exhibits were not been published on the Commission's website:

Exhibit RC0023 Annexure a, AML/CTF Manual Rule – Bank Statement Monitoring, 16 November 2020, tendered 20 May 2021

Exhibit RC0023 Annexure b, Crown AML Manual Bank Statement Review Guidelines, 17 March 2021, tendered 20 May 2021

Exhibit RC0023 Annexure d, Crown Resorts Joint AML/CTF Program Part A, n.d., tendered 20 May 2021

Exhibit RC0023 Annexure n, Initialism Transaction Monitoring Review, April 2021, tendered 20 May 2021

Exhibit RC0023 Annexure q, AML/CTF Policy Statement – Escalation of Critical Risk Customers, 12 November 2020, tendered 20 May 2021

Exhibit RC0024 Letter from Joshua Preston to Anna Lewkowicz, 13 May 2020, tendered 21 May 2021

Exhibit RC0024 Annexure a, AUSTRAC Junket Tour Operations in Australia: Money laundering and terrorism financing risk assessment report, n.d., tendered 21 May 2021

Exhibit RC0025 Confidential Witness Identification Document (NTA-034), tendered 21 May 2021

Exhibit RC0026 Confidential Witness Identification Document (NTA-033), tendered 21 May 2021

Exhibit RC0027 Confidential Witness Identification Document (NTA-031), tendered 21 May 2021

Exhibit RC0028 Confidential Witness Identification Document (NTA-044), tendered 21 May 2021

Exhibit RC0029 Confidential Witness Identification Document (NTA-029), tendered 21 May 2021

Exhibit RC0031 Confidential Witness Identification Document (NTA-027), tendered 21 May 2021

Exhibit RC0032 Name provided by Employee 6, tendered 21 May 2021

Exhibit RC0033 Name of Service Manager provided by Employee 6, tendered 21 May 2021

Exhibit RC0088 Draft Deloitte explanatory guide of A Selection of Professional Money Laundering Typologies, February 2021, tendered 26 May 2021

Exhibit RC0092 Deloitte bank account review spreadsheet, n.d., tendered 26 May 2021



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Exhibit RC0098 Crown's response to recommendations identified in Deloitte's Phase 1 Report, 26 March 2021, tendered 26 May 2021

Exhibit RC0099 Statement of Alexander Carmichael, tendered 27 May 2021

Exhibit RC0099 Annexure a, Crown Resorts Ltd and Promontory Client Relationship Agreement, 12 November 2020, tendered 27 May 2021

Exhibit RC0099 Annexure b, Statement of Work for AML Vulnerability Assessment and Strategic Capability Assessment and Independent Review of AML Program, 24 November 2020, tendered 27 May 2021

Exhibit RC0099 Annexure c, Crown Resorts Ltd and Promontory Attachment for Promontory Advisory Services, 12 November 2020, tendered 27 May 2021

Exhibit RC0099 Annexure d, Draft Proposed Service Scope for Crown Casino, 16 August 2020, tendered 27 May 2021

Exhibit RC0099 Annexure e, Agreement for Exchange of Confidential Information, 3 December 2020, tendered 27 May 2021

Exhibit RC0099 Annexure f, Summary of Discussion/Walkthrough - AML KYC/CDD, 14 January 2021, tendered 27 May 2021

Exhibit RC0099 Annexure g, Summary of Discussion/Walkthrough - Loyalty and Rewards, 15 January 2021, tendered 27 May 2021

Exhibit RC0099 Annexure h, Summary of Discussion/Walkthrough - AML Training, 15 January 2021, tendered 27 May 2021

Exhibit RC0099 Annexure i, Summary of Discussion/Walkthrough - Table Games and Gaming Machines (Melbourne), 18 January 2021, tendered 27 May 2021

Exhibit RC0099 Annexure j, Summary of Discussion/Walkthrough - Cage & Count (Perth), 20 January 2021, tendered 27 May 2021

Exhibit RC0099 Annexure k, Summary of Discussion/Walkthrough - IT Systems and Data, 21 January 2021, tendered 27 May 2021

Exhibit RC0099 Annexure l, Summary of Discussion/Walkthrough - Table Games and Gaming Machines (Perth), 21 January 2021, tendered 27 May 2021

Exhibit RC0099 Annexure m, Discussion/Walkthrough Notes - Transaction Monitoring Investigations, 22 January 2021, tendered 27 May 2021



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Exhibit RC0099 Annexure n, Discussion/Walkthrough Notes - Transaction Monitoring Systems, 22 January 2021, tendered 27 May 2021

Exhibit RC0099 Annexure o, Discussion/Walkthrough Notes - AML/CTF Employee Due Diligence, 22 January 2021, tendered 27 May 2021

Exhibit RC0099 Annexure p, Discussion/Walkthrough Notes - AML KYC/CDD follow up, 9 February 2021, tendered 27 May 2021

Exhibit RC0099 Annexure q, Discussion/Walkthrough Notes - Cage & Count (Melbourne), 10 February 2021, tendered 27 May 2021

Exhibit RC0099 Annexure r, Summary of Discussion/Walkthrough - Governance, 11 February 2021, tendered 27 May 2021

Exhibit RC0099 Annexure s, Summary of Discussion/Walkthrough - Sentinel Demo, 1 March 2021, tendered 27 May 2021

Exhibit RC0099 Annexure t, Draft AML Vulnerability and Strategic Capability Assessment, 29 March 2021, tendered 27 May 2021

Exhibit RC0099 Annexure u, AML Vulnerability Assessment, Strategic Capability Assessment Preliminary observations, 1 February 2021, tendered 27 May 2021

Exhibit RC0099 Annexure v, AML Vulnerability Assessment, Strategic Capability Assessment and Independent Review: Preliminary Observations, 31 March 2021, tendered 27 May 2021

Exhibit RC0099 Annexure w, AML Vulnerability Assessment, Strategic Capability Assessment and Independent Review: Preliminary Observations, 31 March 2021, tendered 27 May 2021

Exhibit RC0099 Annexure x, Draft AML Vulnerability and Strategic Capability Assessment, 29 March 2021, tendered 27 May 2021

Exhibit RC0099 Annexure aa, AML Vulnerability Assessment and Strategic Capability Assessment, September 2020, tendered 27 May 2021

Exhibit RC0100 Phase 1: AML Vulnerability Assessment, 24 May 2021, tendered 27 May 2021

Exhibit RC0101 Confidential Witness Identification Document (NTA-035), n.d., tendered 27 May 2021

Exhibit RC0109 Annexure f, Crown Melbourne Responsible Gaming Advisor Roster, n.d., tendered 1 June 2021



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Exhibit RC0109 Annexure mm, Delegation of Authority to Crown Perth, 19 February 2016, tendered 1 June 2021

Exhibit RC0109 Annexure ffff, Memo from Joshua Preston to Crown Melbourne Board, 30 May 2019, tendered 1 June 2021

Exhibit RC0109 Annexure www, Memorandum from Joshua Preston to Crown Melbourne Board, 4 February 2020, tendered 1 June 2021

Exhibit RC0162 [TITLE REDACTED]

Exhibit RC0170 Confidential report, n.d., tendered 7 June 2021

Exhibit RC0198 [TITLE REDACTED]

Exhibit RC0199 [TITLE REDACTED]

Exhibit RC0223 Annexure a, Letter from ABL to Helen Coonan, 7 May 2021, tendered 22 June 2021

Exhibit RC0231 Confidential bundle of documents, tendered 22 June 2021

Exhibit RC0245 Confidential Witness Identification Document (NTA-052), tendered 23 June 2021

Exhibit RC0246 Confidential Witness Identification Document (NTA-069), tendered 23 June 2021

Exhibit RC0247 Confidential Witness Identification Document (NTA-030), tendered 23 June 2021

Exhibit RC0248 Confidential Witness Identification Document (NTA-055), tendered 23 June 2021

Exhibit RC0249 Confidential Witness Identification Document (NTA-060), tendered 23 June 2021

Exhibit RC0250 Confidential Witness Identification Document for Security Officer 1, tendered 24 June 2021

Exhibit RC0253 Email chain between Jason O'Connor and Flight Operations et al, 12 July 2016, tendered 24 June 2021

Exhibit RC0254 Email from Craig Walsh to Security Officer 1, 18 May 2016, tendered 24 June 2021

Exhibit RC0255 Confidential Witness Identification Document for Security Officer 2, tendered 24 June 2021



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Exhibit RC0257 Email chain between Security Officer 1 and David Stoddart, 26 October 2016, tendered 24 June 2021

Exhibit RC0259 [TITLE REDACTED]

Exhibit RC0269 [TITLE REDACTED]

Exhibit RC0270 MinterEllison draft statement for regulatory purposes, 17 July 2017, tendered 29 June 2021

Exhibit RC0273 [TITLE REDACTED]

Exhibit RC0274 [TITLE REDACTED]

Exhibit RC0276 [TITLE REDACTED]

Exhibit RC0277 [TITLE REDACTED]

Exhibit RC0279 [TITLE REDACTED]

Exhibit RC0289 File note regarding Crown Class Action – Interim Observation on Prospects and Quantum, 2 March 2019, tendered 29 June 2021

Exhibit RC0290 Memorandum regarding the Zantran class action, 12 June 2019, tendered 29 June 2021

Exhibit RC0291 Memorandum regarding the Zantran class action – update in light of recent developments in the ILGA inquiry, 14 September 2019, tendered 29 June 2021

Exhibit RC0294 Email from Richard Murphy to Debra Tegoni and Michael Neilson, 14 February 2017, tendered 29 June 2021

Exhibit RC0295 Draft proposed operating model, n.d., tendered 29 June 2021

Exhibit RC0296 Draft Proposed operating model for VIP business, n.d., tendered 29 June 2021

Exhibit RC0297 Email chain between Richard Murphy and Debra Tegoni et al, 14 February 2017, tendered 29 June 2021

Exhibit RC0302 Letter from MinterEllison to Joshua Preston, 15 February 2019, tendered 29 June 2021

Exhibit RC0303 VIP Operating Model – Risk Assessment Malaysia, n.d., tendered 29 June 2021



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Exhibit RC0304 VIP Operations Risk Assessment Singapore, n.d., tendered 29 June 2021

Exhibit RC0309 Annexure a, Crown Resorts Joint AML/CTF Program Part A, n.d., tendered 1 July 2021

Exhibit RC0309 Annexure b, Crown Resorts Limited Joint Anti-Money Laundering and Counter-Terrorism Financing Program Part B, n.d. , tendered 1 July 2021

Exhibit RC0309 Annexure e, Deloitte Assessment of Patron Account Controls, 26 March 2021, tendered 1 July 2021

Exhibit RC0310 Annexure f, Source of Funds Form – Cash Transactions Policy, 5 December 2020, tendered 1 July 2021

Exhibit RC0310 Annexure i, Escalation of Critical Risk Customers, 12 November 2020, tendered 1 July 2021

Exhibit RC0311 Annexure c, Promontory AML Vulnerability Assessment, 24 May 2021, tendered 1 July 2021

Exhibit RC0327 Annexure h, Crown Directors and Officers claims spreadsheet, 30 November 2020, tendered 1 July 2021

Exhibit RC0345 International Offices - advice on marketing and rendition risks – Hong Kong, February 2017, tendered 2 July 2021

Exhibit RC0349 Herbert Smith Freehills Crown Resorts International Markets Risk Assessment, 9 February 2021, tendered 2 July 2021

Exhibit RC0352 Annexure e, Memorandum regarding POI Committee Referrals, 19 January 2021, tendered 5 July 2021

Exhibit RC0352 Annexure f, File note regarding call with Crown, Minter Ellison and Allens Linklaters, 23 December 2020, tendered 5 July 2021

Exhibit RC0352 Annexure g, Memorandum regarding Crown Melbourne Review of Patrons and Call with Robert Meade, 17 December 2020, tendered 5 July 2021

Exhibit RC0352 Annexure h, File note regarding discussion with Xavier Walsh re WOL advice, 21 December 2020, tendered 5 July 2021

Exhibit RC0353 Annexure j, Email from Robert Meade to Xavier Walsh, 30 December 2020, tendered 5 July 2021



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Exhibit RC0354 Annexure e, Deloitte Phase 1: Assessment of Patron Account Controls Report, 13 April 2021, tendered 5 July 2021

Exhibit RC0354 Annexure f, AML/CTF Policy Statement, 12 November 2020, tendered 5 July 2021

Exhibit RC0354 Annexure n, Significant Player Review Decision Tool, 28 April 2021, tendered 5 July 2021

Exhibit RC0388 Email from Louise Lane to Joshua Preston, 1 June 2018, tendered 5 July 2021

Exhibit RC0389 Email from Louise Lane to Joshua Preston, 7 December 2018, tendered 5 July 2021

Exhibit RC0390 Memorandum regarding IT and IFTIS Crown Melbourne, 9 May 2018, tendered 5 July 2021

Exhibit RC0391 Email chain between Adam Sutherland, Victoria Eastwood and Nick Stokes, 16 February 2021, tendered 5 July 2021

Exhibit RC0393 File Note regarding Analysis of sample SMRs, 15 December 2020, tendered 5 July 2021

Exhibit RC0397 Promontory Phase 2: Strategic Capability Assessment Report, 20 June 2021, tendered 5 July 2021

Exhibit RC0400 Memorandum regarding the Bergin Inquiry and Crown AML/CTF, 19 October 2020, tendered 5 July 2021

Exhibit RC0423 Annexure a, Herbert Smith Freehills Crown Resorts International Risk Assessment, 26 March 2021, tendered 6 July 2021

Exhibit RC0423 Annexure b, Herbert Smith Freehills Crown Resorts International Markets Risk Assessment Scope Overview, March 2021, tendered 6 July 2021

Exhibit RC0423 Annexure c, Email chain between Mary Manos and Xavier Walsh et al, 27 January 2021, tendered 6 July 2021

Exhibit RC0423 Annexure d [TITLE REDACTED]

Exhibit RC0426 Crown Melbourne Executive Risk and Compliance Committee Agenda, 17 November 2020, tendered 6 July 2021

Exhibit RC0452 Memorandum regarding Review of Junket Operator Zezhai Song, 25 June 2020, tendered 9 July 2021



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Exhibit RC0453 Berkeley Research Group Investigative Report regarding Chau Cheok Wa, 12 September 2020, tendered 9 July 2021

Exhibit RC0463 Annexure j [TITLE REDACTED]

Exhibit RC0503 [TITLE REDACTED]

Exhibit RC0504 Letter from Anonymous to the Commissioner, n.d., tendered 12 July 2021

Exhibit RC0589 Responsible Gaming Register, 2016, tendered 12 July 2021

Exhibit RC0590 Responsible Gaming Nature of Service Report, 1 December 2019, tendered 12 July 2021

Exhibit RC0592 Responsible Gaming Nature of Service Report, 1 May 2021, tendered 12 July 2021

Exhibit RC0593 Responsible Gaming Nature of Service Report, 1 March 2021, tendered 12 July 2021

Exhibit RC0596 NOS Report Spreadsheet, 2018, tendered 12 July 2021

Exhibit RC0597 NOS Report Spreadsheet, 2019, tendered 12 July 2021

Exhibit RC0598 NOS Report Spreadsheet, 2020, tendered 12 July 2021

Exhibit RC0599 NOS Report Spreadsheet, 2021, tendered 12 July 2021

Exhibit RC0645 Annexure a, Memorandum regarding Current state assessment and proposed plan regarding Crown Resorts Limited's financial crime and compliance programs, 24 May 2021, tendered 12 July 2021

Exhibit RC0702 [TITLE REDACTED]

Exhibit RC0797 Annexure a, ATO Tax Assurance Report Crown Resorts, 25 August 2020, tendered 13 July 2021

Exhibit RC0797 Annexure b, Letter from Fiona Moore to Karen Cheng, 26 September 2019, tendered 13 July 2021

Exhibit RC0848 [TITLE REDACTED]

Exhibit RC0848 Annexure a [TITLE REDACTED]



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Exhibit RC0848 Annexure b [TITLE REDACTED]

Exhibit RC0849 [TITLE REDACTED]

Exhibit RC0849 Annexure a [TITLE REDACTED]

Exhibit RC0849 Annexure b [TITLE REDACTED]

Exhibit RC0850 [TITLE REDACTED]

Exhibit RC0850 Annexure a [TITLE REDACTED]

Exhibit RC0850 Annexure b [TITLE REDACTED]

Exhibit RC0876 Crown Resorts Network Policy Documents – Melbourne Data Security Policy, n.d., tendered 13 July 2021

Exhibit RC1047 Security Company Risk Assessment and Risk Management Specialist Report, n.d., tendered 15 July 2021

Exhibit RC1052 File note regarding Patron Information – Other Casinos where the Patrons or the Junkets have Played, 17 January 2020, tendered 15 July 2021

Exhibit RC1053 Email chain between Jason O'Connor, Heidi Huang and Lisa Small, 22 October 2014, tendered 15 July 2021

Exhibit RC1067 Melbourne Casino and Entertainment Complex Lease Agreement, n.d., tendered 15 July 2021

Exhibit RC1073 Crown Shop 60 Primary Commercial Terms of Arrangement, 24 June 2016, tendered 15 July 2021

Exhibit RC1074 Contract of Sale between Paul Bran and John Bran, 14 April 2013, tendered 15 July 2021

Exhibit RC1083 Email from Michelle Fielding to Season Counihan and Debra Tegoni, 23 May 2016, tendered 15 July 2021

Exhibit RC1099 Security Investigation Unit – Investigation Report regarding Veng Anh, 27 September 2016, tendered 15 July 2021

Exhibit RC1100 First & Final Written Warning Report to Veng Anh, 7 October 2016, tendered 15 July 2021



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Exhibit RC1105 Email chain between Barry Felstead, Joshua Preston and Melanie McGrail, 24 July 2019, tendered 15 July 2021

Exhibit RC1110 Crown Melbourne Standard Operating Procedures – Security Operations Version 8, 12 October 2020, tendered 15 July 2021

Exhibit RC1111 Crown Melbourne Standard Operating Procedures – Security Operations Version 7, 6 January 2020, tendered 15 July 2021

Exhibit RC1120 Transcript of interview between Robert Meade, Oliver Cain and Veng Anh, n.d., tendered 15 July 2021

Exhibit RC1128 Crown Melbourne Standard Operating Procedure – Surveillance and Security Communications, 18 February 2015, tendered 15 July 2021

Exhibit RC1129 Crown Melbourne Standard Operating Procedure – Surveillance and Security Communications, 12 October 2020, tendered 15 July 2021

Exhibit RC1130 Crown Melbourne Standard Operating Procedure – Surveillance Version 5, 24 May 2021, tendered 15 July 2021

Exhibit RC1132 Memorandum regarding findings from investigation of Crown records, 5 August 2020, tendered 15 July 2021

Exhibit RC1133 Memorandum regarding persons of interest – Matters arising from MinterEllison review in connection with the NSW Casino Inquiry, 30 December 2020, tendered 15 July 2021

Exhibit RC1140 File note regarding Simon Pan Patron Information, 27 July 2019, tendered 15 July 2021

Exhibit RC1141 File note regarding Jiuming Zhou Patron Information, 27 July 2019, tendered 15 July 2021

Exhibit RC1142 File note regarding Jiuming Zhou Patron Information, 23 August 2019, tendered 15 July 2021

Exhibit RC1143 File note regarding Simon Pan Patron Information, 21 August 2019, tendered 15 July 2021

Exhibit RC1144 File note regarding Jiuming Zhou Patron Information, 23 August 2019, tendered 15 July 2021

Exhibit RC1145 File note regarding Jiuming Zhou Patron Information, n.d., tendered 15 July 2021

Exhibit RC1146 File note regarding Jiuming Zhou Patron Information, 8 August 2019, tendered 15 July 2021



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Exhibit RC1147 Dow Jones search summary regarding Jiuming Zhou, 30 July 2019, tendered 15 July 2021

Exhibit RC1149 Crown Information Request Form, 25 November 2014, tendered 15 July 2021

Exhibit RC1151 Email from Marina Lucin to Richard Cook, 8 December 2012, tendered 15 July 2021

Exhibit RC1152 Letter from Zhao Pan to Sarina Persall, 16 August 2019, tendered 15 July 2021

Exhibit RC1153 Email chain between Michael Chen and Heidi Huang et al, 13 February 2016, tendered 15 July 2021

Exhibit RC1154 Email from Deborah Robin to Ishan Ratnam et al, 10 February 2019, tendered 15 July 2021

Exhibit RC1155 File note regarding Media Allegations, 16 March 2020, tendered 15 July 2021

Exhibit RC1156 Email chain between Jason O'Connor and Heidi Huang, 31 March 2015, tendered 15 July 2021

Exhibit RC1157 Crown Melbourne Limited Patron Credit Profile, 4 November 2020, tendered 15 July 2021

Exhibit RC1158 Email from Ishan Ratnam to Veng Anh, 26 August 2016, tendered 15 July 2021

Exhibit RC1159 Email from Michael Chen to Heidi Huang et al, 1 November 2015, tendered 15 July 2021

Exhibit RC1160 Email chain between Indra Davis to Neil de Lima, 25 March 2016, tendered 15 July 2021

Exhibit RC1161 Email chain between Jason O'Connor and Ishan Ratnam et al, 28 January 2016, tendered 15 July 2021

Exhibit RC1162 Email chain between Michael Lam and Roland Theiler, 14 October 2015, tendered 15 July 2021

Exhibit RC1163 Email chain between Heidi Huang and Michael Chen et al, 9 September 2015, tendered 15 July 2021

Exhibit RC1164 Email chain between Darren Harding, Peter Lawrence and Heidi Huang, 27 August 2014, tendered 15 July 2021

Exhibit RC1165 Letter from Jan Williamson to Zhou Pan, 1 March 2013, tendered 15 July 2021



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Exhibit RC1166 Email chain between Michael Chen and Ishan Ratnam, 28 March 2016, tendered 15 July 2021

Exhibit RC1167 Email chain between Michael Chen, Jason O'Connor and John Long, 9 September 2015, tendered 15 July 2021

Exhibit RC1168 Email chain between Michael Chen and Jason O'Connor, 30 September 2016, tendered 15 July 2021

Exhibit RC1169 Email chain between Heidi Huang and Jason O'Connor, 17 July 2015, tendered 15 July 2021

Exhibit RC1170 Email chain between Heidi Huang, Jason O'Connor and Jacinta Maguire, 14 October 2015, tendered 15 July 2021

Exhibit RC1171 Email from Marilyn Marcelo to Neil de Lima, 12 January 2016, tendered 15 July 2021

Exhibit RC1172 Email chain between Ishan Ratnam, Jason O'Connor and Barry Felstead, 26 February 2016, tendered 15 July 2021

Exhibit RC1173 Email chain between Jason O'Connor and Roland Theiler et al, 20 April 2016, tendered 15 July 2021

Exhibit RC1174 Email chain between Jason O'Connor and Neil de Lima et al, 25 June 2016, tendered 15 July 2021

Exhibit RC1175 Email chain between Heidi Huang, Jacinta Maguire and Joseph Bufalino, 23 December 2014, tendered 15 July 2021

Exhibit RC1176 Email chain between Debra Tegoni, Jason O'Connor and Joseph Bufalino, 21 June 2013, tendered July 2021

Exhibit RC1177 Email chain between Mark Arbib and Rowan Craigie et al, 27 October 2016, tendered 15 July 2021

Exhibit RC1178 Email chain between Bin Xiong and Carol Wu et al, 24 June 2016, tendered 15 July 2021

Exhibit RC1179 Email from Albert Yap to VIP Premium Hosts et al, 25 June 2016, tendered 15 July 2021

Exhibit RC1180 Email chain between Heidi Huang and Jason O'Connor et al, 31 March 2015, tendered 15 July 2021



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Exhibit RC1181 Email chain between Heidi Huang, Alfreed Gomez and Michael Chen, 27 January 2016, tendered 15 July 2021

Exhibit RC1182 Email chain between Xavier Walsh and Barry Felstead et al, 3 May 2018, tendered 15 July 2021

Exhibit RC1183 Email from Michael Chen to Barry Felstead et al, 22 May 2016, tendered 15 July 2021

Exhibit RC1184 Email chain between Debra Tegoni and Michael Chen et al, 23 July 2013, tendered 15 July 2021

Exhibit RC1185 Email from Jacinta Maguire to Veng Anh et al, 29 May 2015, tendered 15 July 2021

Exhibit RC1186 Email chain between Jason O'Connor and Jacinta Maguire et al, 10 November 2014, tendered 15 July 2021

Exhibit RC1187 Email from Bec Hall to Director-International Customer Service and Mahogany Host, 11 August 2014, tendered 15 July 2021

Exhibit RC1188 Email from Suzi Wu to Mahogany Reception, 9 April 2015, tendered 15 July 2021

Exhibit RC1189 Email from Imogen Cakebread to Mahogany Reception, 30 May 2014, tendered 15 July 2021

Exhibit RC1190 Email from Tuan Le to Mahogany Reception, 19 September 2014, tendered 15 July 2021

Exhibit RC1191 Email chain between Darren Harding, Peter Lawrence and Heidi Huang, 27 August 2014, tendered 15 July 2021

Exhibit RC1192 Email chain between Alfreed Gomez and Jerry Xuan et al, 3 July 2014, tendered 15 July 2021

Exhibit RC1193 Email chain between Jacinta Maguire and Joseph Bufalino, 22 September 2014, tendered 15 July 2021

Exhibit RC1194 Email chain between Neil de Lima, Ishan Ratnam and Jason O'Connor, 4 August 2016, tendered 15 July 2021

Exhibit RC1195 Email chain between Ishan Ratnam and John Sutherland, 27 October 2015, tendered 15 July 2021



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Exhibit RC1196 Email chain between Ishan Ratnam and Jacinta Maguire et al, 15 June 2016, tendered 15 July 2021

Exhibit RC1197 Email chain between Jason O'Connor and Ishan Ratnam et al, 28 January 2016, tendered 15 July 2021

Exhibit RC1198 Letter from Craig Walsh to Zhao Pan, 21 February 2019, tendered 15 July 2021

Exhibit RC1199 Email from Sean Counihan to Joshua Preston, 12 September 2017, tendered 15 July 2021

Exhibit RC1204 Letter from Robert Meade to Veng Anh, 19 August 2020, tendered 15 July 2021

Exhibit RC1215 Email chain between Michelle Fielding and Joshua Preston et al, 15 January 2019, tendered 15 July 2021

Exhibit RC1222 Meeting invite from Ishan Ratnam to Craig Walsh and Sean Knights, 8 March 2019, tendered 15 July 2021

Exhibit RC1228 [TITLE REDACTED]

Exhibit RC1244 Crown Resorts Board Diligent Pack, 17 February 2021, tendered 16 July 2021

Exhibit RC1274 Crown Resorts Board Diligent Pack, 21 October 2020, tendered 17 July 2021

Exhibit RC1282 Crown Resorts Board Diligent Pack, 9 December 2020, tendered 17 July 2021

Exhibit RC1297 Minutes of Crown Resorts Board Meeting, 9 September 2020, tendered 18 July 2021

Exhibit RC1368 Memorandum regarding ML/TF Risk Assessment – Crown Melbourne, 20 May 2019, tendered 26 July 2021

Exhibit RC1369 Schedule 4 – Crown Entities ML/TF Risk Register, 21 May 2019, tendered 26 July 2021

Exhibit RC1395 Memorandum regarding ML/TF Risk Assessment – Crown Perth, 11 June 2019, tendered 26 July 2021

Exhibit RC1396 Memorandum regarding Proposal to Approve Crown Resorts AML/CTF Policy and Procedures, 16 October 2020, tendered 26 July 2021

Exhibit RC1397 Schedule 7 Designated Services ML/TF Risk Assessment, n.d., tendered 26 July 2021



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Exhibit RC1398 Memorandum regarding ML/TF Risk Assessment – Crown Melbourne, 28 May 2019, tendered 26 July 2021

Exhibit RC1399 Schedule 7 Business Risk Assessment, n.d., tendered 26 July 2021

Exhibit RC1400 Memorandum regarding ML/TF Risk Assessment – Crown Melbourne, 12 April 2019, tendered 26 July 2021

Exhibit RC1401 Schedule 7 Designated Services ML/TF Risk Assessment, n.d., tendered 26 July 2021

Exhibit RC1402 AML/CTF Employee Due Diligence Risk Assessment Template, n.d., tendered 26 July 2021

Exhibit RC1403 Organisational Chart AML/CTF Obligations, n.d., tendered 26 July 2021

Exhibit RC1404 Crown Perth AML Annual Compliance Plan, 15 October 2018, tendered 26 July 2021

Exhibit RC1405 Crown AML/CTF Annual Compliance Plan, 30 July 2018, tendered 26 July 2021

Exhibit RC1406 Crown's AML/CTF Framework Presentation, August 2019, tendered 26 July 2021

Exhibit RC1407 Crown's AML Joint Program Corporate Policy Statement, June 2019, tendered 26 July 2021

Exhibit RC1408 Memorandum regarding Joint AML Framework – Crown Entities ML/TF Risk Register, 21 February 2020, tendered 26 July 2021

Exhibit RC1414 Table of Business Rules, n.d., tendered 2 August 2021

Exhibit RC1428 Confidential Amendment Deed, 6 April 2020, tendered 3 August 2021

Exhibit RC1429 Confidential Facility Agreement, 6 April 2020, tendered 3 August 2021

Exhibit RC1430 Confidential Facility Agreement, 6 April 2020, tendered 3 August 2021

Exhibit RC1431 Confidential Facility Agreement, 6 April 2020, tendered 3 August 2021

Exhibit RC1432 Confidential Facility Agreement, 8 April 2020, tendered 3 August 2021

Exhibit RC1433 Confidential Supplemental Trust Deed, 3 December 2007, tendered 3 August 2021

Exhibit RC1434 Confidential Multi Option Facility Agreement, 29 May 2020, tendered 3 August 2021



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Exhibit RC1472 Promontory Phase 2: Strategic Capability Assessment, 20 June 2021, tendered 13 August 2021

Exhibit RC1475 Crown Resorts Joint AML and CTF Financing Policy and Procedures, 2 November 2020, tendered 13 August 2021

Exhibit RC1478 Crown Resorts Implementation Status of the Deloitte Recommendations, n.d., tendered 13 August 2021

Exhibit RC1484 File note regarding meeting with AUSTRAC, 22 June 2017, tendered 13 August 2021

Exhibit RC1486 Crown Melbourne Internal Control Statement: Security Operations Version 4, 3 July 2019, tendered 13 August 2021

Exhibit RC1487 Crown Melbourne Internal Control Statement: Surveillance, 4 April 2018, tendered 13 August 2021

Exhibit RC1491 Letter from Jan Williamson to Alexander Blaszczyński et al, 14 January 2020, tendered 13 August 2021

Exhibit RC1499 Email from Joshua Preston to Glen Ward, 17 October 2018, tendered 13 August 2021

Exhibit RC1505 Deed of Separation between Barry Felstead and Crown Resorts, 9 December 2020, tendered 13 August 2021

Exhibit RC1518 Crown Melbourne Casino Boundary Plans, n.d., tendered 13 August 2021

Exhibit RC1557 Memorandum regarding Crown Resorts Governance Structure Advice, 8 September 2020, tendered 27 August 2021

Exhibit RC1567 File note regarding Legal Advices Obtained by Crown Melbourne regarding Overseas gambling and Promotion, tendered 27 August 2021

Exhibit RC1571 Email chain between Lilli Owens and Scott May, 10 September 2019, tendered 27 August 2021

Exhibit RC1575 Email from Richard Murphy to Michael Neilson, 18 January 2017, tendered 27 August 2021