

**SURNAME, First Name Middle Name** #Patron number  
**AKA: SURNAME, First Name Middle Name; SURNAME, First Name Midd**  
**DOB: DD/MM/YYYY**

**Contact Details:**

### Streent Name, Suburb, City, Mobile: (+##) ### ### ###

Business phone: (+##) ### ### ###

**Purpose of Due Dilligence/Recommendation:**

Stop Code	Reason for code	as at DD/MM/YYYY
x Code	Reason for code	
x Code	Reason for code	

Compliance	as at DD/MM/YYYY
<b>Compliance</b> <input type="checkbox"/> <b>AML</b> <input type="checkbox"/> AML check: ## x SMR YYYY-YYYY; ## x LEA email xxxx; Risk Status: xxxxxx* <input type="checkbox"/> <b>RSG</b> <input type="checkbox"/> RSG Check: ##### DD/MM/YYYY <input type="checkbox"/> <b>Surveillance</b> <input type="checkbox"/> COGNOS Surveillance check for Barring or exclusions: ## record confirmed DD/MM/YYYY	

Crown History	as at DD/MM/YYYY									
<input type="checkbox"/> Credit limit AUD###,### <input type="checkbox"/> Perth facility established in YYYY. Melbourne facility established in YYYY <input type="checkbox"/> ## losing programs/trips (last DD/MM/YYYY) AUDxx due DD/MM/YYYY repaid DD/MM/YYYY <input type="checkbox"/> Normally Pays via XXX										
<table border="1"> <thead> <tr> <th></th> <th>Melb \$m</th> <th>Perth \$m</th> </tr> </thead> <tbody> <tr> <td>Turnover</td> <td>##.#</td> <td>##.#</td> </tr> <tr> <td>Crown Net Win</td> <td>##.#</td> <td>##.#</td> </tr> </tbody> </table>			Melb \$m	Perth \$m	Turnover	##.#	##.#	Crown Net Win	##.#	##.#
	Melb \$m	Perth \$m								
Turnover	##.#	##.#								
Crown Net Win	##.#	##.#								
<input type="checkbox"/> Last visit by Operator: Property DD/MM/YYYY <input type="checkbox"/> Key Player under Junket: SURNAME, First Name Middle Name # Patron Number; SURNAME, First Name Middle Name										

Debt History	as at xx/xx/xxxx
<input type="checkbox"/> Original Debt Property AUDXXXX due xx/xx/xx (Prog#)- ECOM <input type="checkbox"/> Funds on deposit AUDxxx <input type="checkbox"/> Paid AUDxxxx xx/xx/xxxx <input type="checkbox"/> Balance outstanding AUDxxxx <input type="checkbox"/> Debt repayment notes. Source and date	

Other Casino Activity	as at xx/xx/xxxx
<input type="checkbox"/> Junket lines: property currency limit <input type="checkbox"/> Individual lines: property currency limit	

Identification Details
<input type="checkbox"/> <u>Passport</u> <input type="checkbox"/> Country passport X##### expires DD/MM/YYYY <input type="checkbox"/> <u>ID Card</u>

- ☐ Country ID ##### received DD/MM/YYYY
- Driver's Licence
- ☐ State Driver's Licence No: ##### expires DD/MM/YYYY
- Personal Cheque
- ☐ Bank ( branch ) cheque # ##### & account ##### received DD/MM/YYYY
- Utility Bill
- ☐ Country Residential address listed on xxxxxx issued DD/MM/YYYY
- Business Card
- ☐ Business card for company name (position held) received DD/MM/YYYY
- Other
- ☐ ABN xxxxxxxx (name) active since DD/MM/YYYY
- ☐ Non-Exclusive Gaming Agreement Combined received DD/MM/YYYY

### Risk Management Database

- Dow Jones
- ☐ patron name - xx match xx/xx/xxxx
- ☐ alias- xx match xx/xx/xxxx
- ☐ company -xx match xx/xx/xxxx
- Factiva
- ☐ patron name - xx match xx/xx/xxxx
- ☐ alias- xx match xx/xx/xxxx
- ☐ company -xx match xx/xx/xxxx
- ☐

### Criminal History, Investigations and Disciplinary Actions

- ☐ type of check (country) issued xx/xx/xxxx
- ☐ Director and shareholder for XXXXXXXXXXXXXXXX which is a xxxx DICJ Licence holder
- ☐ Country Bankruptcy Search XX match - source dd/mm/yyyy
- ☐ VEVO Visa class/subclass: xx/xx expires xx/xx/xxx

### Global Data

as at xx/xx/xxxx

- ☐ Wealth Band – xxxxxxxx
- ☐ xxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxx
- ☐ Shareholder of:
- ☐ Director of:
- ☐ PEP Status:
- ☐ xxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxx

### Wealth X

as at

- ☐ Estimated Net Worth: At least USDxxx
- ☐ Estimated Liquid Assets: At least USDxxxx
- ☐
- ☐

### Acuris Risk Intelligence

as at

- ☐ Estimated Net Worth - GBPxxx
- ☐
- ☐

### Company Search

#### Australia

- ☐ Personal name search: ## matches DD/MM/YYYY

XXXXXXXXXX

SAI Global: Director and Shareholder ##% DD/MM/YYYY

-ABN Number

-Industry: Type - Source DD/MM/YYYY

-Website: <https://www.xxx.com>

XXXXXXXXXX

SAI Global: Director and Shareholder ##% DD/MM/YYYY

-ABN Number

-Industry: Type - Source DD/MM/YYYY

-Website: <https://www.xxx.com>

XXXXXXXXXX

SAI Global: Director and Shareholder ##% DD/MM/YYYY

-ABN Number

-Industry: Type - Source DD/MM/YYYY

-Website: <https://www.xxx.com>

**Country**

Personal name search: ## matches DD/MM/YYYY

XXXXXXXXXX

Provider name: Director and Shareholder ##% DD/MM/YYYY

-ABN Number

-Industry: Type - Source DD/MM/YYYY

-Website: <https://www.xxx.com>

#### Property Search

##### Primary Address

### Streent Name, Suburb, City, State, Post Code, COUNTRY (source)

-xxxx Owner (xxxxx) Mortgage to xxxxxx: (source )

-Estimated Value ( xxxxxxx ) source xxxxxxxx xx/xx/xxxx

##### Other Address

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#### Internet Search - Adverse Media

Individual name searches - xx record - Google xx/xx/xxxx

Company name searches - xx record- Google xx/xx/xxxx

#### Key Known Associates - Potential Risk

person name #xxxx (Director of xxxxx ) - Dow Jones: xx match xx/xx/xxxx

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##### Key Players on Program#335079

XXXX, XXXX #xxxx (T/O \$xxm Loss \$xxxk) - Dow Jones: xx match xx/xx/xxxx